

AHCCCS CONTRACTOR OPERATIONS MANUAL
ACOM POLICY 103, ATTACHMENT A,
ATTESTATION OF: DISCLOSURE OF OWNERSHIP AND
CONTROL AND DISCLOSURE OF INFORMATION ON
PERSONS CONVICTED OF A CRIME

CONTRACTOR NAME

DATE

1. DISCLOSURE OF OWNERSHIPS AND CONTROL

☐ ***DISCLOSURE OF OWNERSHIP AND CONTROL*** [42 CFR 455.104] (State Medicaid Director Letter [SMDL] #09-001)

- The Contractor attests it has requested and obtained the required information on ownership and control from any agent, managing employee (including Key Staff), individual, corporation, or provider of the Contractor as required by Section D of the contract.

In the case of a Government Entity:

☐ ***DISCLOSURE OF OWNERSHIP AND CONTROL*** [42 CFR 455.104] (SMDL #09-001)

- The Contractor attests it is an agency of the State of Arizona and as such, has no individual or corporation with an ownership or control interest in the Contractor, as defined in 42 CFR 455.101 and outlined in Section D of the contract.

2. DISCLOSURE OF INFORMATION ON PERSONS CONVICTED OF CRIMES

☐ ***DISCLOSURE OF INFORMATION ON PERSONS CONVICTED OF CRIMES***
[42 CFR 455.101; 106; 436] (SMDL #09-001)

- The Contractor attests with regard to itself it has confirmed the identity and determined the exclusion status of any agent, managing employee (including Key Staff), and any person with an ownership or control interest in the Contractor, through routine checks of Federal databases as required by Section D of the contract;

AND

- The Contractor attests with regard to itself and its fiscal agents that it has disclosed the identity of any of these excluded persons, including those who have ever been convicted of a criminal offense related to that person's involvement in any program under Medicare, Medicaid, or the Title XX services program since the inception of those programs as required by Section D of the contract.

3. ADMINISTRATIVE SERVICES SUBCONTRACTS: DISCLOSURE OF INFORMATION OF OWNERSHIP AND CONTROL AND ON PERSONS CONVICTED OF CRIMES

- ☐ The Contractor attests it requires Administrative Services Subcontractors adhere to the requirements regarding Disclosure of Ownership and Control and Disclosure of Information on Persons Convicted of Crimes as outlined in 42 CFR 455.101 through 106, 42 CFR 436 and SMDL09-001, including that Administrative Services Subcontractors shall disclose to AHCCCS-OIG the identity of any excluded person.



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NAME (PRINT)

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Reference: Contract Section D, Program Requirements, Corporate Compliance Paragraph